



REVIEW

Travel to high altitude with pre-existing lung disease

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ABSTRACT: The pathophysiology of high-altitude illnesses has been well studied in normal individuals, but little is known about the risks of high-altitude travel in patients with pre-existing lung disease. Although it would seem self-evident that any patient with lung disease might not do well at high altitude, the type and severity of disease will determine the likelihood of difficulty in a high-altitude environment. The present review examines whether these individuals are at risk of developing one of the main forms of acute or chronic high-altitude illness and whether the underlying lung disease itself will get worse at high elevations. Several groups of pulmonary disorders are considered, including obstructive, restrictive, vascular, control of ventilation, pleural and neuromuscular diseases. Attempts will be made to classify the risks faced by each of these groups at high altitude and to provide recommendations regarding evaluation prior to high-altitude travel, advice for or against taking such excursions, and effective prophylactic measures.

KEYWORDS: Acute mountain sickness, high altitude, high-altitude cerebral oedema, high-altitude pulmonary oedema, hypoxia, lung disease

Many people travel to high altitude each year. For example, roughly 9,000 people attempt to climb Mount Rainier (WA, USA; elevation 4,392 m) annually [1] and nearly 1.6 million visit a Colorado ski resort whose base elevation is 2,476 m [2]. People who ascend to such elevations are at risk for a variety of problems, including acute mountain sickness (AMS), high-altitude cerebral oedema (HACE) and high-altitude pulmonary oedema (HAPE). The incidence of these altitude illnesses is well defined for normal individuals, but little information is available regarding the risk of developing altitude illness in patients with pre-existing lung disease. The same is true for the question of whether the underlying lung disease itself will get worse at high altitude. While the majority of people going to high altitudes are healthy individuals, it would be a mistake to assume that high-altitude travel is limited to such groups. Even if those with lung disease do not engage in vigorous activities such as skiing or climbing, exposure to high-altitude environments through work, leisure activities, commercial air flight or car travel over high mountain passes may result in predictable consequences.

The present review examines the problems posed by high altitude for individuals with pulmonary disease. Specifically, the risk of developing acute and chronic forms of altitude illness is addressed, along with whether the underlying disease will worsen with ascent to high elevations. The likely risks at high altitude for those with obstructive, restrictive, vascular, control of ventilation, pleural and neuromuscular disorders is discussed and recommendations for or against travel to high altitude, pre-travel evaluation and effective prophylactic measures are offered.

Owing to the limited literature on these issues, some caution must be applied in considering these risks and making recommendations. As a result, the conclusions of the present review rest on an understanding of the specific disease pathophysiology and how that pathophysiology might interact with the high-altitude environment, complemented as much as possible by studies with small patient numbers that focus on narrow end-points or on case reports with limited generalisability. Nevertheless, reasonable tentative conclusions can be drawn to guide evaluation of such patients before ascent to high elevation and their management during the high-altitude sojourn.

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ENVIRONMENTAL CHANGES AT HIGH ALTITUDE THAT MAY AFFECT PULMONARY FUNCTION

Before considering how patients with pulmonary disease are affected at high altitude, it is useful to review the environmental changes at high elevations that may affect pulmonary function.

The most significant change at high altitude is the nonlinear decrease in barometric pressure with increasing elevation. This change is more pronounced at higher latitudes and during the winter [3] and leads to lower inspired oxygen partial pressure, alveolar oxygen partial pressure (P_{A,O_2}) and arterial oxygen tension (P_{a,O_2}) values. Air density and ambient temperature also decrease, with the latter falling at a rate of 1°C for every 150-m gain in elevation [4]. With lower temperatures, the absolute humidity is reduced relative to sea-level values; this in turn leads to greater insensible water losses through the respiratory tract, particularly when minute ventilation is increased during exercise [4].

Air quality also changes with increasing altitude. As discussed further below, the burden of house-dust mites, important allergens in asthmatic patients, decreases with increasing altitude [5, 6]. Other aspects of air quality, however, might actually worsen with increasing elevation. For example, heavy-duty diesel truck on-road emissions increase with rising altitude [7]. In areas such as the Himalayas, wood and yak-dung stoves are common heat sources and so the air quality in villages is often poor in the early evenings and mornings. Increasing elevation also leads to more intense solar radiation, which produces more accelerated photochemistry and greater smog potential. Finally, many mountain areas have extensive valley systems in which frequent temperature inversions trap pollutants.

THE NORMAL PULMONARY RESPONSE TO HIGH ALTITUDE

In order to understand the problems lung disease patients may experience at high altitude, it is useful to review the normal physiological responses to hypobaric hypoxia. While the lungs play the primary role in the early and late responses to high altitude, other organ systems including the heart, kidneys and haematological system undergo important adaptations. These changes, some of which occur immediately and others over days to weeks, are discussed below with an emphasis on those involving the respiratory system.

Ventilation

With the fall in barometric pressure and subsequent decreased P_{A,O_2} , there is a compensatory increase in ventilation, known as the hypoxic ventilatory response (HVR). BASU *et al.* [8], for example, showed that resting ventilation in healthy males increased from 7.03 ± 0.3 L·min⁻¹ at sea level to 11.8 ± 0.5 L·min⁻¹ on the first day at 3,110 m. Resting ventilation continues to rise with extended time at altitude. If the increase in ventilation does not occur, the P_{A,O_2} and P_{a,O_2} will be lower at any given barometric pressure than when these ventilatory changes occur as expected [9, 10]. The HVR carries a cost, however, as respiratory muscle oxygen consumption rises with increasing altitude and ventilatory demands require a greater fraction of a person's ventilatory reserve or maximal voluntary ventilation (MVV). For instance, if a patient's MVV

is only 25 L·min⁻¹, then the obligatory 4–5 L·min⁻¹ increase in ventilation at 3,110 m will have the effect of requiring the patient to breathe at almost 50% of MVV in contrast to perhaps 10% of MVV for a healthy person. The increased work of breathing also demands greater blood flow for the respiratory muscles and, as a result, may “steal” cardiac output from other working muscles, thereby limiting exercise capacity [11, 12].

Gas exchange and oxygen delivery

Multiple factors affect lung gas exchange and arterial oxygenation at high altitude. The low P_{A,O_2} limits the alveolar–arterial driving gradient for oxygen uptake and, in combination with a lower mixed venous oxygen tension, also delays alveolar–capillary equilibration [13]. These issues are of greater concern during exercise when the smaller pressure differential across the alveolar–capillary barrier, in conjunction with the increased cardiac output, shortened capillary transit time and greater venous oxygen desaturation, create an effective diffusion limitation for oxygen that leads to further arterial desaturation [9, 10, 14]. Compounding these effects is increased extravascular lung water at high altitude, for which there is indirect evidence, which may impair gas exchange by creating more ventilation–perfusion inequality [15–17].

The fall in P_{A,O_2} decreases blood oxygen content, but the effect on oxygen delivery is partly mitigated by a rise in cardiac output, haemoconcentration by a mild diuretic effect of hypoxia and, eventually, by hypoxia-mediated erythropoietin secretion and increased red blood cell production. Finally, for any given P_{A,O_2} , arterial saturation will initially be higher at high altitude because the acute respiratory alkalosis arising from hyperventilation causes a leftward shift in the haemoglobin–oxygen dissociation curve. This shift, which improves oxygen uptake in the lungs more than it impairs off-loading in the tissues, diminishes over time as the dissociation curve shifts to the right in response to increased 2,3-diphosphoglycerate production and renal compensation for the respiratory alkalosis. These changes occur rapidly (within 1–2 days) following ascent to elevations <5,000 m, and, as a result, the overall position of the dissociation curve is essentially unchanged from its baseline position at sea level. The alkalosis-induced changes in the position of the haemoglobin–oxygen dissociation curve are likely to be irrelevant until one ascends to elevations >5,000 m where very high levels of ventilation provoke a marked respiratory alkalosis which will, in fact, shift the position of the dissociation curve. WEST *et al.* [13], for example, used venous blood gas samples to calculate an estimated arterial pH of 7.7 on the summit of Mount Everest, a result which suggests that extreme hyperventilation may be necessary at extreme altitudes in order to facilitate uptake of adequate amounts of oxygen.

Pulmonary vascular system

At high altitude, alveolar hypoxia triggers hypoxic pulmonary vasoconstriction (HPV) and a subsequent rise in pulmonary arterial pressure (P_{pa}) [18, 19]; left atrial pressures remain normal and the rise in P_{pa} persists over time [20–23]. BERGER *et al.* [22], for example, exposed healthy individuals to normobaric hypoxia and found that the systolic P_{pa} rose from 22 ± 3 mmHg at an inspired oxygen fraction (F_{I,O_2}) of 0.21 to 33 ± 6 mmHg after 4 h of breathing an F_{I,O_2} of 0.12. There is a

large variability of HPV in normal healthy individuals, spanning almost a ten-fold range in P_{pa} changes with acute hypoxia [21]. Despite the fact that some individuals develop marked pulmonary hypertension, surprisingly, no cases of acute right heart failure have been described during mountaineering or scientific expeditions to high altitude [24]. Those individuals with very large HPV are, however, at risk of HAPE and possibly subacute and chronic mountain sickness, illnesses which are discussed in the following section on specific high-altitude illnesses.

Pulmonary mechanics

Various changes in pulmonary mechanics have been described at high altitude. Studies in simulated and actual high-altitude environments consistently show a fall in vital capacity [8, 25–28]. This change occurs within the first day and persists over time at high altitude [27, 28]. Various mechanisms have been proposed to explain this change including pulmonary vascular engorgement, mild interstitial oedema [28], increased abdominal distension [26] and decreased respiratory muscle strength [29]. In contrast, total lung capacity (TLC) is increased at altitude [25, 30], suggesting that residual volume is increased as well. Conflicting data have been reported regarding changes in the forced expiratory volume in one second (FEV₁) as various studies have reported an increase [31], a decrease [8] or no change [27, 28]. Despite inconsistent data on changes in FEV₁, there is clear evidence that peak expiratory flow rates (PEFR) are increased [8, 27, 30, 32] and airways resistance is reduced [30, 31], changes that most likely stem from the decreased air density at high altitude.

Conflicting data exist regarding changes in static lung compliance. KRONENBERG *et al.* [20] reported decreased compliance in four healthy individuals over 72 h at 3,800 m, but subsequent studies have shown that compliance is increased at high altitude [30, 33]. Similar variable results have been reported regarding respiratory muscle strength. DEBOECK *et al.* [29] reported decreased maximum inspiratory and expiratory pressures at a simulated altitude of 4,267 m, while FORTE *et al.* [34] showed no change in these variables at 4,300 m. The reasons for all of these conflicting results may relate to various methodological differences between the studies such as the altitude reached, the speed of ascent, and other elements of the research programme which might have altered the observed results. Unfortunately, with all of these disparities between the various studies, it is difficult to determine which are the more valid outcomes.

HIGH-ALTITUDE ILLNESS

Before discussing the specific forms of lung disease and how these patients will fare at high altitude, it is useful to briefly describe the main forms of altitude-related disease: AMS; HACE; HAPE; subacute mountain sickness; and chronic mountain sickness. The information discussed below is summarised in table 1. For a detailed discussion of these issues, the reader is referred to several excellent reviews on these topics [35–39].

Acute mountain sickness

AMS is a syndrome that affects 22–53% of travellers to altitudes between 1,850 and 4,240 m, with higher incidences being described at the higher elevations [40, 41]. Marked by

the presence of headache plus one or more other symptoms including fatigue, loss of appetite, nausea, vomiting, dizziness and poor sleep, it is typically seen above 2,500 m and begins within 6–10 h of ascent. The primary risk factors for developing AMS include the altitude reached and the rate of ascent. There are no specific physical examination findings or laboratory studies and the diagnosis is made on the basis of presenting symptoms. Diagnosis and severity of illness can also be assessed using the Lake Louise AMS Scoring system [42]. The disorder is best prevented by undertaking a slow ascent to high elevation. Acetazolamide and dexamethasone have both also been proven to be effective prophylactic options [43–45]. Adequate treatment requires cessation of ascent, symptomatic treatment with non-narcotic pain relievers and acetazolamide [46]. If symptoms do not resolve with appropriate treatment, descent is required. Affected individuals should not ascend further until symptoms have abated.

High-altitude cerebral oedema

HACE is a very rare but life-threatening condition defined by the presence of ataxia, altered mental status or both in a patient with preceding symptoms of either AMS or HAPE [35]. It derives from the same pathophysiology as AMS, but represents a more severe progression of these processes. Cases are typically seen above 4,000 m and, as with AMS, the primary risk factor is an overly rapid ascent to high elevations. Ataxia and signs of global encephalopathy are the primary physical exam findings. Preventive measures are the same as for AMS. Treatment requires immediate descent to lower elevations or, if this is not feasible, supplemental oxygen or a portable hyperbaric chamber. Affected patients should also be treated with dexamethasone. If not recognised and treated promptly, HACE can lead to brain herniation and death.

High-altitude pulmonary oedema

HAPE is a noncardiogenic pulmonary oedema that affects 0.2–15% of high-altitude travellers, depending on the altitude reached and the rate of ascent [47, 48]. Generally seen above 3,000 m, it occurs within 2–5 days of ascent and can develop without preceding symptoms of AMS or HACE. Risk factors include the altitude reached, the rate of ascent, overexertion and cold-air exposure. Individual susceptibility also plays a role, as HAPE-susceptible individuals have been shown to have exaggerated pulmonary vascular responses to hypoxia and exercise in normoxia [23, 49–51]. Initial symptoms include decreased exercise performance and a dry cough. With worsening disease, patients develop dyspnoea with minimal activity and a cough productive of pink frothy sputum. Physical examination often reveals resting tachycardia, cyanosis, low-grade fever, and crackles on auscultation. Disease prevention entails undertaking a slow ascent to high altitude and avoiding overexertion. Patients with a history of HAPE should be considered for prophylaxis with nifedipine and/or salmeterol, both of which have been proven effective in randomised, placebo-controlled studies [52, 53]. Treatment requires descent, or, if this is not feasible, supplemental oxygen or a portable hyperbaric chamber. Treatment with nifedipine should also be initiated. Death can occur if the disease is not recognised and treated promptly.

TABLE 1 Summary of the major high-altitude illnesses

Disease	Primary symptoms	Prevention	Treatment
AMS	Headache plus one or more of the following: lightheadedness; nausea; vomiting; lethargy; poor sleep	Slow ascent; avoid overexertion; acetazolamide 125 mg or 250 mg <i>b.i.d.</i> or dexamethasone 2 mg <i>q.i.d.</i>	Stop ascending; non-narcotic pain relievers for headache; antiemetics; acetazolamide 250 mg <i>b.i.d.</i>
HACE	Pre-existing symptoms of AMS or HAPE; ataxia; altered mental status; coma	Slow ascent; avoid overexertion; acetazolamide 125 mg or 250 mg <i>b.i.d.</i> or dexamethasone 2 mg <i>q.i.d.</i>	Descend until symptoms resolve; supplemental oxygen; portable hyperbaric chamber; dexamethasone 1 × 8 mg then 4 mg <i>q.i.d.</i>
HAPE	Mild: decreased exercise performance and dry cough; severe: dyspnoea with minimal exertion or at rest; cough with pink, frothy sputum; cyanosis	Slow ascent; avoid overexertion; nifedipine SR 20 mg <i>b.i.d.</i> and/or salmeterol 125 µg <i>b.i.d.</i>	Descend until symptoms resolve and avoid overexertion on descent; supplemental oxygen; portable hyperbaric chamber; short-acting nifedipine 10 mg then nifedipine SR 30 mg <i>b.i.d.</i>
Subacute Mountain Sickness	Dyspnoea; exercise angina; cough; ascites; peripheral oedema; cardiomegaly; polycythaemia	No documented strategies for prevention	Diuretic therapy; descent to lower elevation
Chronic Mountain Sickness			
Polycythaemic form	Headache; lethargy; confusion; mucosal congestion; cyanosis; clubbing	No documented strategies for prevention	Descent to lower elevation; alternatives to descent include periodic phlebotomy and respiratory stimulants
Isolated right heart failure	Headache; dyspnoea; cough; oedema; cyanosis; tachycardia; hepatomegaly		Descent to lower elevation

AMS: acute mountain sickness; HACE: high-altitude cerebral oedema; HAPE: high-altitude pulmonary oedema.

Subacute mountain sickness and chronic mountain sickness

While AMS, HACE and HAPE are only seen with acute exposure (2–5 days) to high altitude, two other forms of altitude illness are seen with longer durations of exposure: subacute mountain sickness and chronic mountain sickness. Subacute mountain sickness was originally described by ANAND *et al.* [54], who reported 21 cases of right heart failure in Indian soldiers posted to elevations between 5,800 and 6,700 m for an average of 10 weeks. Affected individuals, who are likely to have an exaggerated hypoxic pulmonary vasoconstrictor response [55], complain of dyspnoea, cough and exercise-induced angina, and demonstrate evidence of ascites, peripheral oedema, polycythaemia, cardiomegaly and pericardial effusion. Treatment involves evacuation to lower elevations, which leads to rapid resolution of the disorder. Subacute altitude illness is generally not seen in populations living at moderate altitudes between 3,300 and 5,000 m in places such as Leadville (CO, USA) and the Andes Mountains, where the more modest rise in P_{Pa} can generally be tolerated for long periods of time.

At these more moderate elevations, however, long-term residents (>1 yr) can develop one of two forms of chronic altitude illness. MONGE and WHITEMBURY [56] described a syndrome marked by the triad of polycythaemia, hypoxaemia and impaired mental function in which affected individuals complain of headache, fatigue, impaired concentration, irritability and impaired exercise tolerance, and physical examination demonstrates clubbing, congested mucosal surfaces and cyanosis. Treatment involves relocation to lower elevations or, in cases where relocation is not feasible, periodic phlebotomy

[57], isovolaemic haemodilution [58, 59] and long-term use of respiratory stimulants such as acetazolamide [60] or medroxyprogesterone [61]. Right heart failure was not described in the report by MONGE and WHITEMBURY [56], but has subsequently been described in advanced stages of the disease in populations outside the Andes [62]. Additional reports from areas outside the Andes [63, 64] have also described an alternative form of chronic altitude illness marked by the presence of pulmonary hypertension and right heart failure without polycythaemia. Affected individuals complain of headache, cough, dyspnoea and irritability and show evidence of cyanosis, tachycardia, hepatomegaly and peripheral oedema. Treatment involves descent to lower elevation, but symptoms recur with re-ascent to high altitude.

Having reviewed the main forms of high-altitude illness and discussed other aspects of high-altitude physiology, the different forms of lung disease and how patients with these diseases may fare at high altitude will now be discussed.

OBSTRUCTIVE LUNG DISEASES

Chronic obstructive pulmonary disease

Given the high prevalence of chronic obstructive pulmonary disease (COPD) in the general population, it is likely that many patients are exposed to high altitude by either long-term residence or in the short term *via* car trips through mountainous areas, vacations to high-altitude locations or commercial air flight. The many physiological problems of COPD, including gas-exchange inefficiency, increased ventilatory requirements, reduced muscle strength and mild-moderate pulmonary hypertension, all of which might be affected by

high altitude, require that COPD patients be assessed prior to any significant time in a high-altitude environment.

COPD and long-term high-altitude residence

Multiple studies have demonstrated that long-term residence at altitude is associated with increased mortality and a higher incidence of cor pulmonale in COPD patients [65–68]. For example, COTE *et al.* [65] reported a $1/10^5$ increase in mortality for every increase of 95 m in residential altitude and MOORE *et al.* [66] reported that such patients died at a younger age and after a shorter duration of illness when compared to sea-level patients. The only report to the contrary is that of COULTAS *et al.* [69] who found that mortality rates among COPD patients in New Mexico did not increase with altitude. They hypothesised that the discrepancy might be due to differences in occupational exposures and the fact that they examined data from a later period when supplemental oxygen use was more prevalent. Despite these results from COULTAS *et al.* [69], there is at least enough evidence to suggest that long-term residence at high altitude is a potential problem for COPD patients and to consider recommending that they avoid permanent residence in such locations.

Gas exchange

With respect to more short-term exposures, the key question is whether COPD patients can maintain an adequate P_{a,O_2} at altitude or whether they should travel to such areas with supplemental oxygen. Only one study has directly examined this question in a mountainous area. GRAHAM and HOUSTON [70] took eight patients with COPD and an average FEV₁ of 1.27 L to 1,920 m and found that the P_{a,O_2} fell from a sea-level average of 8.8 kPa (66 mmHg) to 7.2 kPa (54 mmHg) within 3 h of arrival at altitude. In the absence of other field studies, there is extensive literature regarding the use of supplemental oxygen on COPD patients with hypoxaemia on commercial aircraft flights. Exposure to hypobaric hypoxia equivalent to 2,348 m in elevation causes P_{a,O_2} to fall below 6.7 kPa (50 mmHg) in COPD patients [71–73]. With mild degrees of exercise under similar conditions, P_{a,O_2} will fall even further [72, 74]. SECCOMBE *et al.* [74], for example, performed a 50-m walk test on COPD patients breathing inspired air with an F_{I,O_2} of 0.15 and found that P_{a,O_2} fell from 6.09 ± 0.51 kPa (45.8 ± 3.8 mmHg) at rest to 5.28 ± 0.40 kPa (39.7 ± 3.0 mmHg). The fall in P_{a,O_2} at rest is reversible with supplemental oxygen, as demonstrated by BERG *et al.* [73], who found that 4 L·min⁻¹ delivered by nasal cannula raised P_{a,O_2} by an average of 5.24 ± 1.97 kPa (34.9 ± 14.8 mmHg). It should be noted that no studies have examined patients above an equivalent altitude of 3,048 m and, as a result, no conclusions can be drawn about outcomes and the effectiveness of oxygen supplementation above this altitude.

The 6.7-kPa (50-mmHg) level noted above is significant because this is the threshold above which P_{a,O_2} should be maintained during commercial flight according to guidelines set by the American Thoracic Society [75]. An alternative set of guidelines from the Aerospace Medical Association sets this threshold at 7.3 kPa (55 mmHg) [76]. These are arbitrarily defined values and neither set of guidelines provides the rationale for why these thresholds were chosen. However, they seem reasonable as P_{a,O_2} values in this range ensure arterial

oxygen saturations above 85% and lie above the steep portion of the haemoglobin–oxygen dissociation curve. In the absence of any data suggesting alternative thresholds, they are the standard for deciding which patients require supplemental oxygen in flight or, by logical extension, with travel to high altitude. The question then arises as to whether it is possible to predict in which COPD patients the P_{a,O_2} will fall below these thresholds. GONG JR *et al.* [77] reported that sea-level P_{a,O_2} values of 9.0 and 9.6 kPa (68 and 72 mmHg) successfully classified >90% of COPD patients with a P_{a,O_2} >7.3 kPa (55 mmHg) at 1,524 m and >7.3 kPa (55 mmHg) at 2,348 m, respectively. The Aerospace Medical Association guidelines also affirm that a sea-level P_{a,O_2} of 9.7 kPa (73 mmHg) is adequate for ensuring a safe P_{a,O_2} during exposure to conditions equivalent to 2,348 m in elevation, the maximum level typically experienced on commercial aircraft [78]. CHRISTENSEN *et al.* [72], however, question these results. In their study of 15 COPD patients with baseline P_{a,O_2} >9.7 kPa (73 mmHg), they found that the resting P_{a,O_2} fell to <6.7 kPa (50 mmHg) in 33% of patients at 2,348 m and 66% of patients at 3,048 m. Alternative methods of better predicting the P_{a,O_2} at altitude have been proposed. Several studies have shown that combining sea-level FEV₁ values with the sea-level P_{a,O_2} improved prediction of P_{a,O_2} at altitude [71, 79]. CHRISTENSEN *et al.* [72] found that all subjects in their study whose pre-flight maximum oxygen uptake exceeded 12 mL·kg⁻¹·min⁻¹ maintained a P_{a,O_2} >6.7 kPa (50 mmHg) at 2,348 m, but no further studies have been carried out to validate this finding.

In assessing the decrease in P_{a,O_2} at altitude, it is worth considering whether the changes observed in the studies cited above are of any clinical significance. It is noteworthy that none of the studies cited above reported adverse events, even in cases where the P_{a,O_2} fell to <6.7 kPa (50 mmHg). GONG JR *et al.* [77] reported the presence of new asymptomatic arrhythmias during the hypoxia-altitude simulation test in two of their 22 subjects. Of these, 11 subjects also experienced mild symptoms including dyspnoea, headache and dizziness, which did not correlate with the level of hypoxaemia. The only symptoms reported in other studies were dyspnoea [80, 81] and mild fatigue [70], but even these symptoms were absent in several of the studies [72, 74, 82]. The lack of symptoms in many of these studies suggests that patients who are chronically hypoxic at sea level might tolerate falls in P_{a,O_2} to <6.7 kPa (50 mmHg) because they are already “acclimatised” to some extent. Before one concludes, however, that all COPD patients will tolerate these falls in their P_{a,O_2} at altitude without adverse consequences, it is important to note that these studies examined small numbers of individuals, and included patients with an average FEV₁ of 1–1.5 L and without carbon dioxide retention. No conclusions can be drawn about patients with more severe disease or evidence of hypercapnia. In addition, the exposure duration in these studies was shorter than what an individual would experience during a prolonged sojourn to high altitude.

Airflow obstruction

Independent of arterial oxygenation, altitude may also alter the degree of airflow obstruction. Theoretically, the lower air density at altitude should improve airflow dynamics. FINKELSTEIN *et al.* [83] exposed 10 patients with COPD and a

mean FEV₁/forced vital capacity (FVC) ratio of 51% to the equivalent of 5,488 m in a hypobaric chamber and found that the vital capacity fell from a mean of 2.97 L to 2.72 L while the FEV₁/FVC ratio improved, increasing from 51 to 57%. They also noted improvement in MVV from 60 to 73 L·min⁻¹ and improvements in the maximal expiratory flow rates from 1.45 to 1.55 L·s⁻¹. In their study of 18 COPD patients with a mean baseline FEV₁ of 31% predicted, DILLARD *et al.* [84] found no statistically significant differences in vital capacity, FEV₁, MVV or PEFR at a simulated altitude of 2,348 m. Conversely, several studies suggest that hypoxaemia may worsen bronchoconstriction in some COPD patients [85, 86]. A weakness in all of these studies was the failure to replicate the lower ambient temperature of higher altitudes. KOSKELA *et al.* [87], for example, exposed 20 COPD patients to -17°C and found that FEV₁ fell by an average of 9.4 ± 1.4%. When the same subjects were asked to hyperventilate cold air while sitting in a warm room, the FEV₁ fell by 8.0 ± 1.3%. Similar changes are seen with exercise in a cold environment. KOSKELA *et al.* [88] showed that when COPD patients performed an incremental cycle ergometer test at -20°C, FEV₁ fell by an average of 4–8% when compared to pre-exercise values in ambient air, as did the maximum duration of exercise and the maximal workload. The latter results, however, conflict with those of SPENCE *et al.* [89], who demonstrated increased peak exercise performance and decreased end-exercise breathlessness in 19 COPD patients with a mean FEV₁ of 1 L exercising in -13°C conditions.

Bullous lung disease

An important issue in the COPD patient with severe bullous disease is whether the decrease in ambient pressure at altitude might lead to bullae expansion and pneumothorax. The available literature suggests that this concern may be unwarranted. PARKER and STONEHILL [90] studied nine non-COPD patients with blebs or pulmonary cysts and found that upon rapid decompression to a simulated altitude of 13,110 m, the size of the bleb or cyst increased in only one patient and there were no pneumothoraces. TOMASHEFSKI *et al.* [91] brought six COPD patients with blebs and bullae to a simulated altitude of 5,488 m at a rate of 304 m·min⁻¹ and found no radiographic evidence of bullae distention or pneumothoraces. Finally, YANDA and HERSCHENSOHN [92] took four patients with COPD and evidence of air-trapping to a simulated altitude of 5,488 m and did not find evidence of worsening pulmonary function or pneumothorax. While computed tomography (CT) scanning might provide more accurate assessment of bullae size compared to the conventional radiography used in these studies, the absence of pneumothoraces in these studies is reassuring. The reason for the absence of pneumothoraces in patients with bullous disease has not been elucidated. Bullae may communicate with the airways to a greater extent than expected, allowing for pressure equalisation. In addition, the pressure changes with ascent to altitude (<50 kPa) are less than those seen with scuba diving (200–300 kPa) and, as a result, the pressure gradient for bullae expansion and rupture is much lower.

COPD and secondary pulmonary hypertension

Patients with severe COPD and baseline hypoxaemia often develop pulmonary hypertension [93, 94]. As discussed below in the section Pulmonary vascular disorders, there is circumstantial evidence to suggest that this might put COPD patients

at risk for the development of HAPE or acute right heart failure at high altitude. At altitude, alveolar hypoxia triggers HPV and further increases in P_{pa} , which may promote oedema formation or increase right heart strain. Cold exposure at high elevations may also contribute to increased pulmonary vascular resistance, although this effect can be blocked with supplemental oxygen administration [95]. Although no studies have examined the impact of hypobaric hypoxia on patients with COPD and pulmonary hypertension, it is reasonable to conclude that the risk of HAPE and acute right heart failure at high altitude will be greater than in patients without secondary pulmonary hypertension.

Work of breathing

Finally, one must consider how COPD patients will respond to the increased ventilatory demands at high altitude. While the increase in ventilation is easily tolerated in people without lung disease, the question is whether COPD patients with moderate-to-severe disease can sustain the increased ventilatory work and higher oxygen cost of breathing [96, 97] for long periods of time. No studies have thus far addressed this issue but tentative conclusions can be drawn from the literature on exercise in COPD patients. MADOR *et al.* [98] exercised 12 COPD patients with an average FEV₁ of 1.8 L at 60–70% of their maximal oxygen uptake ($\dot{V}O_{2,max}$) until the limits of tolerance and found no evidence of contractile fatigue of the diaphragm. The several minutes' duration of exercise is very short compared to the length of time a COPD patient might spend at altitude. However, the subjects in this study reached a peak minute ventilation of 55.6 ± 4.1 L·min⁻¹, significantly higher than the minute ventilation they would generate at rest at altitude. LEWIS *et al.* [99] compared the results of incremental exercise studies in COPD patients and persons with normal spirometry and found that, although the COPD patients had a reduced $\dot{V}O_{2,max}$, the slopes of the oxygen uptake *versus* work-rate relationships were no different. Given the increased oxygen cost of breathing in COPD patients, this finding suggests that the COPD patients were able to handle the increased respiratory load at the expense of oxygen delivery to nonrespiratory muscles. When viewed together, the studies by LEWIS *et al.* [99] and MADOR *et al.* [98] indicate that COPD patients should be able to sustain resting ventilatory demands at altitude.

Recommendations

COPD patients whose baseline FEV₁ is <1.5 L should be assessed prior to high-altitude travel to determine the need for supplemental oxygen. Since data suggest that the resting sea-level P_{a,O_2} alone misses a significant number of patients whose P_{a,O_2} falls to <6.7–7.3 kPa (50–55 mmHg) at high altitude, prediction of the P_{a,O_2} at altitude should be based on the regression equation provided by DILLARD *et al.* [71] which incorporates the patient's FEV₁:

$$P_{a,O_2,Alt} = (0.519 \times P_{a,O_2,SL}) + (11.85 \times FEV_1) - 1.76 \quad (1)$$

where $P_{a,O_2,Alt}$ is the P_{a,O_2} at altitude and $P_{a,O_2,SL}$ is the P_{a,O_2} at sea level. Subjects with a predicted P_{a,O_2} <6.7–7.3 kPa (50–55 mmHg) should travel to high altitude with supplemental oxygen. Having patients breathe in a hypobaric hypoxic environment might provide another useful way to predict the P_{a,O_2} at altitude, but this technique is unfeasible in most

clinical settings and cannot be recommended as part of the pre-travel evaluation. Patients should increase the flow rate of oxygen by 2 L·min⁻¹ when engaging in physical activity. Extreme caution should be taken with patients intending to travel to altitudes >3,048 m, as no data are available to guide recommendations above this elevation. COPD patients with pre-existing pulmonary hypertension should be counselled against travelling to high altitude due to the theoretical risk of developing HAPE or acute right heart failure. If such travel cannot be avoided, patients should travel with supplemental oxygen and should be placed on nifedipine SR 20 mg *b.i.d.* through the duration of their stay at altitude, as several studies have shown that nifedipine inhibits HPV at rest and with exercise in COPD [100, 101]. Patients with bullous disease can travel to high altitude but those with a recent spontaneous pneumothorax should wait ≥2 weeks following radiographic resolution before undertaking such travel [102]. All COPD patients should remain on their baseline medical regimen while travelling to altitude and should carry an adequate supply of rescue inhalers and prednisone to treat exacerbations that may develop when the patient lacks access to medical care. Finally, it is important to remember that patients with COPD often have comorbid conditions, such as coronary artery disease, which also have the potential to cause complications at high altitude. These recommendations, as well as those for patients with obstructive lung disease, are summarised in table 2.

Asthma

As far back as the 1920s, there were indications that asthmatic patients experienced symptomatic improvement at high altitude [103] and much subsequent literature bears this out. For example, VARGAS *et al.* [104] reported an inverse correlation between a person's residential altitude and the development of asthma or incidence of exacerbations. Similarly, GOURGOULIANIS *et al.* [105] demonstrated that the prevalence of asthma, number of school days missed and incidence of nocturnal symptoms were lower in children living at 800–1,200 m than among sea-level residents. However, these studies only examined long-term high-altitude residents and, as a result, the findings may

not apply to short-term visitors. GOLAN *et al.* [106] examined the incidence of asthma exacerbations in 203 short-term adventure travellers (75% of whom were engaged in high-altitude trekking) and reported worsening asthma control in 20%, as well as 32 patients who said they had their "worst ever" asthma attack. It is not clear, though, that they accounted for the actual altitude attained during their travel or the fact that trips to high altitude often include periods of transit through cities or environments where the air quality is poor. Nevertheless, this study suggests that short-term outcomes may not be consistent with those reported for long-term visitors. In the end, the outcome for short-term visitors may not be as clear as these epidemiological studies suggest and instead probably reflects a complex interplay between several factors that affect asthma control, including the allergen burden at altitude and the effect of cold air, hypoxia, air density and hypocapnia on bronchial responsiveness. Each of these issues is considered below.

Allergen burden

As a result of hypoxia, lower humidity and other climatic changes, the number of house-dust mites decreases with increasing altitude [5, 6]. This lower mite burden has been shown to decrease peripheral blood T-lymphocyte activation, eosinophil counts [107], house-dust mite-specific immunoglobulin E [108] and markers of eosinophil activation [109, 110]. Asthmatic patients at altitude also demonstrate a lower prevalence of positive skin tests to house-dust mites [111, 112]. These alterations in immune function lead to improvements in bronchial hyperresponsiveness, as several studies have demonstrated decreased responsiveness to histamine, methacholine and adenosine 5'-monophosphate in children following prolonged stays at high altitude [108, 113, 114]. Mite avoidance has also been shown to improve FEV₁ and quality of life in paediatric asthma [110], decrease residual volume and air-trapping [115] and decrease peak expiratory flow variability [113, 114]. These studies, however, involved longer-term exposures to high altitude and do not provide adequate guidance regarding asthmatic patients engaged in shorter

TABLE 2 Summary of recommendations for obstructive lung disease patients

Chronic obstructive pulmonary disease

- For patients with FEV₁ <1.5 L, assess need for supplemental oxygen and administer during stay at high altitude if predicted P_{a,O_2} <50–55 mmHg
- Evaluate for comorbid diseases that may also affect the response to altitude
- Continue baseline medications and carry supply of rescue inhalers and prednisone for potential exacerbations
- Counsel patients with pre-existing pulmonary hypertension against high-altitude travel. If such travel is necessary, prophylax with nifedipine SR 20 mg *b.i.d.*
- Following spontaneous pneumothorax, avoid high-altitude travel until 2 weeks after radiographic resolution

Asthma

- Patients with mild intermittent or mild persistent disease may ascend to altitudes as high as 5000 m
- Caution patients with more severe disease against high-altitude travel, particularly into remote areas
- Continue baseline medications and carry peak flow meter and supply of rescue inhalers and prednisone for potential exacerbations
- Consider using balaclava or bandana over mouth to warm and humidify air in cold environments

Cystic fibrosis

- Assess need for supplemental oxygen in all patients and administer if predicted P_{a,O_2} <50–55 mmHg
- If predicted P_{a,O_2} >50–55 mmHg, consider supplemental oxygen if FEV₁ <50% predicted
- Continue pre-existing chest physiotherapy, mucolytics and antibiotics during high-altitude sojourn

FEV₁: forced expiratory volume in one second; P_{a,O_2} : arterial oxygen tension. 1 mmHg=0.133 kPa.

exposures. In addition to lower mite burden, other elements of the high-altitude environment that may affect outcomes for asthmatic patients are hypoxia, hypocapnia, air density and air temperature.

Hypoxia

When the effect of hypoxia is isolated from other aspects of the high-altitude environment, the effects on bronchial reactivity are not clear. Several studies demonstrate that hypoxia increases bronchial responsiveness to methacholine [116, 117], while other studies, using comparable degrees of hypoxic exposure, have shown no change in either the response to methacholine [118, 119] or specific airway resistance [120]. In addition to these effects on bronchial hyperresponsiveness, acute isocapnic hypoxia has also been shown to reduce methacholine-induced symptoms of dyspnoea and chest tightness [121], a result which suggests that asthmatic patients may not perceive when they are developing worsening symptoms at high altitude. Finally, there is some suggestion that acute hypoxia may blunt the response to inhaled bronchodilators, but this result has only been shown *in vitro* and has not been demonstrated *in vivo* [122].

Hypocapnia

As noted earlier, hypoxia triggers an increase in minute ventilation, which, in turn, leads to a fall in the alveolar carbon dioxide partial pressure (P_{A,CO_2}). This response is potentially problematic in asthmatic patients because hypocapnia has been shown to adversely affect airway resistance [123–126]. When NEWHOUSE *et al.* [123], for example, raised the minute ventilation in five normal males to 30 L·min⁻¹ and dropped the P_{A,CO_2} to 2.7–3.3 kPa (20–25 mmHg), mean inspiratory flow resistance increased by 133% and mean respiratory work increased by 68% when compared with a minute ventilation that yielded a P_{A,CO_2} of 6.0–6.7 kPa (45–50 mmHg). Similarly, VAN DEN ELSHOUT *et al.* [124] examined asthmatic patients with impulse oscillometry and found that a 1.0-kPa (7.5-mmHg) fall in the end-tidal carbon dioxide tension led to a 13.2% increase in airway resistance and a 45% fall in airway reactance.

Air temperature

Inhalation of cold air may also worsen asthma symptoms. Cold-air hyperventilation challenge, for example, has been shown to be useful in discriminating between children with and without asthma [127] and to correlate well with nonspecific bronchial reactivity measured by methacholine inhalation challenge [128]. Larger epidemiological studies have also shown that cross-country skiers, a group of individuals whose training and competition generates high minute ventilation in cold environments, have a higher incidence of asthma and asthma-like symptoms when compared to nonathletic controls [129, 130]. In a smaller study, DURAND *et al.* [131] demonstrated that up to 50% of ski mountaineers develop exercise-induced bronchoconstriction following a race and that 73% are unaware of the problem. Several studies have also demonstrated increased bronchial responsiveness to breathing cool air [132–134] and cooling of the skin [135, 136], although TESSIER *et al.* [137] reported no increase in bronchial responsiveness to histamine following exercise while breathing cold air. The observed hyperresponsiveness is attenuated by administration of cromolyn sodium [138], acetazolamide [139] and nifedipine [140]. The fact that the

latter two medications may block cold-induced bronchial hyperresponsiveness is of particular importance since both medications are used for prophylaxis against high-altitude illness. It is interesting to speculate that their use by asthmatics for this reason may also provide protection against asthma exacerbations.

Air density

As one ascends to altitude and the barometric pressure falls, air density decreases. Less dense gases have better flow properties through narrow airways and, therefore, one would expect that asthmatic patients might benefit from the lower air density at altitude. While the effects of air density at altitude have not been addressed in the asthma literature, the density issue has received attention in the management of asthma at sea level. Numerous studies have looked at the use of a low-density helium–oxygen mixture (heliox) in nonintubated patients with asthma exacerbations and have revealed improvements in dyspnoea and airflow obstruction [141], spirometric parameters such as FVC and FEV₁ [142] and delivery of nebulised solutions to the lower airways [143]. Some systematic reviews [144, 145] have concluded, however, that the preponderance of evidence does not yet support the widespread use of heliox in clinical practice. Nevertheless, the effects of heliox at sea level raise the question of whether the lower air density at altitude might be of benefit to asthma patients. At sea level, the density of air is 1.29 g·L⁻¹, whereas an 80%/20% heliox mixture has a density of only 0.428 g·L⁻¹. At an altitude of 5,500 m, where the barometric pressure is roughly half that of sea level, the air density would be ~0.645 g·L⁻¹, still greater than that of the 80%/20% heliox mixture at sea level. As a result, an asthma patient might have to ascend to very high elevations before they could experience significant effects from the air density changes similar to that seen with heliox at sea level. As noted above, this issue has not been studied systematically at altitude, nor are there any data concerning whether the lesser density changes seen at lower elevations might be of benefit to asthma patients either during or outside of an exacerbation period.

While the data on house-dust mites, hypoxia, hypocapnia, air density and cold-air inhalation provide insight into how asthma patients will fare upon ascent to high altitude, the ability of these studies to answer that question is limited in two respects. The applicability of the house-dust mite data is limited by the fact that the duration of exposure in those studies was much longer than what many travellers to high altitude will face. The hypoxia and cold air studies used shorter exposures, but many of these studies isolated the effect of one factor on airway reactivity and did not take into account the complete range of climatic conditions asthma patients face at high altitude. For example, the studies on hypoxia and bronchial hyperreactivity used isocapnic hypoxia as the independent variable. This is important for isolating the effect of hypoxia on the airways, but the fact is that asthmatic subjects at high altitude experience hypocapnic hypoxia and often breathe cool air at the same time. Given these limitations, the best information for assessing short-term outcomes of asthma patients at altitude comes from a few field studies in which subjects are tested in the high-altitude environment and all of its associated climatic conditions.

Field studies on asthmatic patients at altitude

LOUIE and PARE [146] studied 10 nonasthmatic and five asthmatic patients with mild, well-controlled disease during a trek in the Nepal Himalaya and demonstrated that the asthmatic patients had a mean decrease in their PEFR of $76 \pm 67 \text{ L} \cdot \text{min}^{-1}$ between sea level and their two highest altitudes. Completion of a 200-m run at altitude did not lead to further decrement in PEFR. One problem with this study, however, was the fact that all subjects received either dexamethasone or acetazolamide at the highest elevation, which might have affected bronchial hyperreactivity. COGO *et al.* [147] reached a different conclusion than LOUIE and PARE [146]. They studied 11 mild asthmatic patients at sea level and 5,050 m and demonstrated decreased bronchial reactivity to both hypoosmolar aerosol and methacholine at high altitude. Similarly, ALLEGRA *et al.* [148] studied 11 mild asthmatic patients on separate trips to 4,559 m in the Italian Alps and 5,050 m in the Nepal Himalaya and demonstrated that the bronchial response to hypotonic aerosol was decreased at high altitude. FEV₁ fell by a mean of 22% with hypotonic aerosol at sea level compared to a decrease of only 6.7% at high altitude. The mechanism behind these changes was not clear but the authors hypothesised that higher levels of cortisol and catecholamines at altitude may play a protective role. These two studies provide some reassurance that asthmatic patients can ascend to moderate altitudes below 5,000 m without significant adverse effects on short-term function. Caution is necessary in applying these results, however, as these studies only examined outcomes in patients with mild disease. In addition, none of the studies reported other outcomes at high altitude, which might be of importance, such as the frequency of rescue inhaler use or the need for oral steroids to control worsening symptoms.

Recommendations

Patients with mild-intermittent or mild-persistent asthma can ascend to altitudes as high as 5,000 m. They should maintain their pre-existing medication regimen and should travel with an ample supply of rescue inhalers and oral prednisone to treat any asthma exacerbations that occur in remote areas away from medical attention. Patients should consider travelling with their fixed orifice peak flow meters, since variable orifice meters underestimate flow at higher altitude and with cold [32, 149, 150]. Even if the absolute peak flows are not accurate, however, the trends may provide useful information to guide management. In cold or windy environments, patients should consider protecting the nose and mouth with bandanas or balaclavas to warm and humidify the inhaled air. Due to the lack of data and the lack of medical facilities in many high-altitude regions, patients with more severe disease at baseline should be cautioned against travelling to remote high-altitude regions. If such travel cannot be avoided, aggressive attempts to control the patient's symptoms with high-dose inhaled steroids or even oral steroids should be made prior to such travel (table 2).

Cystic fibrosis

As the life expectancy and quality of life of cystic fibrosis (CF) patients improves over time, increasing numbers of these patients may travel to high altitude for work or pleasure. As with COPD patients, much of the literature has focused on the

impact of hypobaric hypoxia on pulmonary function and their ability to maintain an adequate P_{a,O_2} . Little data exist regarding other aspects of care in CF patients, such as the incidence of clinical exacerbations.

The effect of high altitude on pulmonary function in CF patients is not consistent across studies. FISCHER *et al.* [151] reported small but statistically significant increases in the FEV₁ and FVC at 2,650 m while THEWS *et al.* [152] reported no change and ROSE *et al.* [153] demonstrated a slight drop in these values at 3,000 m.

There is consistent evidence, however, that travel to moderate altitudes (2,000–3,000 m) causes the P_{a,O_2} to fall near to or <6.7 kPa (50 mmHg). ROSE *et al.* [153] studied 10 patients in a hypobaric chamber and found that the average P_{a,O_2} fell from a baseline value of 10.6 kPa (79.5 mmHg) at sea level to 8.0 kPa (60 mmHg) and 6.05 kPa (45.5 mmHg) at simulated altitudes of 2,000 m and 3,000 m, respectively. FISCHER *et al.* [151] took 36 patients to an actual altitude of 2,650 m for a period of 7 h and found that the median P_{a,O_2} fell from 9.8 kPa (74 mmHg) at 530 m to 7.1 kPa (53 mmHg) at that altitude. The data also suggest that the more severe the underlying lung disease, the greater the likelihood of significant hypoxaemia; six out of their 11 patients with an FEV₁ <50% predicted sustained a fall in resting P_{a,O_2} to <6.7 kPa (50 mmHg) at 2,650 m, whereas only four of the 20 patients with an FEV₁ >70% predicted experienced a similar change.

Exercise exacerbates hypoxaemia in these patients. FISCHER *et al.* [151] exercised subjects at a constant workload of 30 W for 5 min, during which time the P_{a,O_2} fell to <6.7 kPa (50 mmHg) in two-thirds of the patients. RYUJIN *et al.* [154] performed maximal exercise tests without arterial blood gases on 50 CF patients at a lower altitude of 1,500 m and demonstrated a fall in the mean arterial oxygen saturation from 93% at rest to 87% at peak exercise. The magnitude of desaturation correlated with the severity of the patients' pre-exercise lung function.

Whether the impaired oxygenation is of clinical significance is unknown. ROSE *et al.* [153] and FISCHER *et al.* [151] both reported that none of their subjects had symptoms of dyspnoea during either the simulated or actual altitude exposure. Similarly, KAMIN *et al.* [155] exposed 12 CF patients to a simulated altitude of 3,000 m in a hypobaric chamber and found that 90% of them tolerated falls in their P_{a,O_2} to below the 6.7 kPa (50 mmHg) threshold. FISCHER *et al.* [151] also measured Lake Louise AMS scores in their subjects and reported a mean score of 1.0 ± 0.78 at the end of the sojourn to high altitude. Given that a Lake Louise AMS score ≥ 3 is necessary to qualify for a diagnosis of AMS and that the maximum score on the assessment used in this study is 15, this mean score represents only a minor degree of symptoms at high altitude. The lack of symptoms in these studies suggests that, as with COPD patients, chronically hypoxic CF patients may tolerate falls in their P_{a,O_2} below the recommended thresholds because they are already somewhat "acclimatised" to the low-oxygen conditions. Each of these studies, however, involved only short exposures to hypobaric hypoxia and, as a result, may underestimate the incidence of AMS or other high altitude-related diseases, which often start much later than the 7-h

exposure used by FISCHER *et al.* [151]. SPEECHLY-DICK *et al.* [156] reported on two CF patients with a pre-travel FEV₁ in the 1-L range who developed pulmonary hypertension and cor pulmonale during ski holidays at high altitude. Thus, one must be concerned that more prolonged stays at high altitude may impose significant risks on those patients with more advanced disease. SPEECHLY-DICK *et al.* [156] also noted that both patients had increased sputum volume on return from high altitude, but aside from their report, there are no systematic studies on sputum production and clinical exacerbations in CF patients at high altitude.

Recommendations

Unlike the case for COPD, the sea-level hypoxia inhalation test may not be a good predictor of arterial P_{a,O_2} at altitude in CF patients. OADES *et al.* [157] found the test to be a good predictor of the hypoxic response in teenage children on aircraft (coefficient of correlation 0.76) but reported worse performance at altitude (coefficient of correlation 0.47). FISCHER *et al.* [151] reported a similarly low coefficient of correlation ($r^2=0.5$) in their study of 36 adult cystic fibrosis patients and found that pre-travel spirometric results had better predictive value for determining which patients would desaturate to a significant degree at altitude. Given these data, the current authors recommend including spirometric data in the pre-travel assessment of CF patients for supplemental oxygen. Hypoxia inhalation tests should be performed prior to altitude travel and, in those subjects whose arterial P_{a,O_2} falls to <6.7 kPa (50 mmHg), supplemental oxygen should be prescribed. If the patient maintains a P_{a,O_2} above this level but spirometry reveals severe underlying disease (FEV₁ <50% pred), strong consideration should be given to having these patients travel with supplemental oxygen, particularly in the event of a prolonged stay at altitude. Pre-existing chest physiotherapy programmes, prophylactic antibiotics and mucolytic therapy should also be continued during high-altitude travel (table 2).

PULMONARY VASCULAR DISORDERS

Two forms of pulmonary vascular disease merit attention: pulmonary hypertension and thromboembolic disease. The latter is a concern whether or not the patient has associated pulmonary hypertension.

Disorders associated with pulmonary hypertension

There are no systematic studies examining outcomes in patients who ascend to high altitude with pre-existing primary or secondary pulmonary hypertension. Nevertheless, drawing on the current understanding of the pathophysiology of HAPE and numerous case reports in the literature, it is possible to qualitatively assess the risks faced by patients at high altitudes.

As noted earlier, a key pathophysiological feature of HAPE is the exaggerated pulmonary vascular response to acute hypoxia. Exuberant hypoxic pulmonary vasoconstriction leads to large increases in pulmonary arterial and capillary pressures which, in turn, promote the transit of red blood cells, protein and fluid from the vascular space into the pulmonary interstitium and alveolar space [158]. Several case reports suggest that pre-existing pulmonary hypertension may exacerbate this pathophysiology and increase the risk of HAPE. HACKETT *et al.* [159] reported the occurrence of HAPE in four adults with congenitally absent right pulmonary arteries who

ascended to $\geq 2,750$ m. Cardiac catheterisation in one of these patients revealed a P_{pa} of 44/17 at rest and 75/37 mmHg after 2 min of mild exercise. Similarly, RIOS *et al.* [160] reported a 10-yr-old male with an absent right pulmonary artery and baseline P_{pa} of 40/20 mmHg who developed repeated episodes of HAPE following ascents to altitudes >1,500 m, while TORRINGTON [161] described a patient with recurrent HAPE attributable to right pulmonary artery occlusion from granulomatous mediastinitis. While these cases all involve anatomical anomalies associated with secondary pulmonary hypertension, there are also reports of HAPE patients with nonanatomical causes of pulmonary hypertension such as pulmonary embolism [162], anorexigen-induced pulmonary hypertension [163] and Down's syndrome [164]. Interestingly, there is also evidence to suggest that people with chronic pulmonary hypertension from high-altitude residences are also susceptible to HAPE development. DAS *et al.* [165], for example, has described 10 children with chronic pulmonary hypertension (mean P_{pa} 38 ± 9 mmHg) secondary to living at moderate altitudes 1,610–3,050 m, who also developed HAPE with ascents to altitudes of 520–2,500 m above their residential altitude. Four out of the 10 patients had no underlying cardiopulmonary disease and were presumed to have pulmonary hypertension solely due to their high-altitude residence. WU [166] has also described the case of a Tibetan man with chronic mountain sickness and pulmonary hypertension (mean P_{pa} 38 mmHg) who developed HAPE upon re-ascent to 4,300 m following a 12-day respite at sea level. When viewed together, these cases suggest that patients with pre-existing secondary pulmonary hypertension should be considered HAPE susceptible.

There are no studies or case reports involving patients with primary pulmonary hypertension. Given the extensive vascular remodelling that occurs in these patients, one might question whether their altered pulmonary vasculature provides a measure of protection against HAPE. In the absence of any data regarding these patients at altitude, it is difficult to make any firm claims in this regard and the more prudent course would be to consider them at increased risk for HAPE.

The limited literature does not provide any sense of the level of pre-existing pulmonary hypertension necessary to increase the risk of HAPE. In the cases described above, systolic P_{pa} in the 40-mmHg range appeared to be sufficient but the wide range of pressures described in these reports makes it difficult to assign a threshold above which a patient becomes at risk for HAPE. It is likely that there is a continuum of risk determined by the patient's underlying pulmonary vascular resistance, HPV responsiveness, and the rate and height of ascent. No data exist as to whether or not these patients can maintain adequate P_{a,O_2} upon ascent to high altitude, but if they are hypoxaemic at sea level, it is likely that they will experience more profound hypoxaemia at higher altitudes.

Finally, one must be aware that HAPE is not the only potential source of complications in patients with pulmonary hypertension travelling to high altitude. Even in cases where overt or subclinical oedema does not occur, a further rise in P_{pa} with acute exposure to high altitude could lead to acute right heart failure or subacute mountain sickness with potentially

devastating consequences for the patient. Lastly, it is reasonable to speculate that those who choose to live in high-altitude regions may be at greater risk of developing chronic mountain sickness.

Recommendations

In the absence of systematic studies of patients with pulmonary hypertension at high altitude, the safest advice is to recommend against travel to high altitude. If such travel cannot be avoided, patients must be counselled prior to their trip about how to recognise the symptoms and signs of HAPE. Patients with known pulmonary hypertension should use supplemental oxygen with any time at high altitude regardless of whether or not they have hypoxaemia in room air at sea level. While HAPE is generally seen at altitudes above 3,000 m in a normal population, the current authors recommend using supplemental oxygen for trips at lower elevations (e.g. 2,000 m) as the hypoxic conditions in such environments are sufficient to trigger HPV and further increase P_{pa} . The fact that cases occurred at altitudes as low as 1,700 m in the DURMOWICZ [164] series and have been described at altitudes as low as 1,400 m in other series involving apparently normal individuals [167] lends support to this argument. Finally, if patients with pulmonary hypertension of any aetiology are not on medical therapy for their disorder, they should be placed on prophylactic nifedipine SR, 20 mg *b.i.d.* for the duration of their stay at altitude as this has been shown to prevent HAPE in susceptible individuals [168]. Sildenafil [169] and tadalafil [170] have also been shown to decrease HPV. Interestingly, in the tadalafil study, dexamethasone was also effective in reducing P_{pa} and HAPE. Thus, phosphodiesterase-5 inhibitors and corticosteroids offer reasonable alternatives to calcium-channel blockers if necessary (table 3).

Pulmonary thromboembolic disease

Regardless of whether or not they have pulmonary hypertension, patients with a history of venous thromboembolism deserve further consideration. Specifically, the question is whether such patients are susceptible to further thromboembolic events at high altitude. Since there are practically no systematic studies in the literature which address thromboembolic risks at high altitude, only limited conclusions can be drawn from case reports and studies of coagulation parameters in hypobaric or hypoxic environments.

One of the few systematic attempts to evaluate the risk of thromboembolism at altitude is the study by ANAND *et al.* [171] who retrospectively reviewed the records of 20,257 hospital admissions in India in a 3-yr period and compared the incidence of thromboembolism between patients from high and low elevations. They found 46 cases of vascular thrombosis (44 venous and two arterial) among 1,692 admissions from high-altitude areas and 17 cases from low-altitude regions and calculated the odds ratio for thromboembolic events at high altitude to be 30.5. Because the subjects in this study spent a mean duration of 10.2 months at high altitude prior to admission, this retrospective study is limited in its extension to the risks associated with shorter sojourns to high altitude.

The study by ANAND *et al.* [171] is the only study in the literature to use thromboembolic events as the primary outcome measure of the study. The majority of studies on the risk for thromboembolic events at altitude have instead examined changes in various coagulation parameters as surrogate measures for assessing this risk. An examination of these different studies does not reveal clear evidence of increased thrombotic risk at high altitude. The literature contains conflicting results about the effects of acute hypoxic exposure on platelet function. SHARMA [172] reported increased platelet counts following ascent above 3,000 m while other studies reported either a decrease [173–175] or no change in these levels [176]. Similar conflicting results have been demonstrated for bleeding times [177, 178]. Regarding other components of the coagulation system, studies consistently show that the activated partial thromboplastin time is shorter during acute hypoxic exposures [176, 179, 180] but reveal conflicting data with regard to other biochemical markers of coagulation activity. For example, MANNUCCI *et al.* [181] report an increase in inhibitors of the fibrinolytic pathway while BARTSCH *et al.* [180] provide evidence of activation of the fibrinolytic system. Similarly, BENDZ *et al.* [182] report increased concentrations of thrombin antithrombin-III complexes and prothrombin fragment 1+2, two markers of thrombin formation, while BARTSCH *et al.* [183] demonstrate that thrombin and fibrin formation were not increased in climbers ascending to 4,559 m. The reasons for the conflicting data include the different numbers of subjects, the environmental settings (hypobaric chamber *versus* actual ascent), care in blood sampling so as not to activate *ex vivo* coagulation and

TABLE 3 Summary of recommendations for pulmonary vascular disease patients	
Pulmonary hypertensive disorders	
Counsel patients against high-altitude travel	
If high-altitude travel cannot be avoided, counsel patients about the risks, symptoms and signs of HAPE	
Administer supplemental oxygen for trips above 2000 m even in patients not on supplemental oxygen at baseline	
For patients not on pre-existing medical therapy, prophylax with nifedipine SR 20 mg <i>b.i.d.</i>	
Thromboembolic disease	
Continue any pre-existing anticoagulation regimen during high-altitude sojourn with close follow-up of INR before and after trip	
Do not initiate new anticoagulation prescription in patients not on a pre-existing regimen	
Discontinue oral contraceptives in females with pre-existing coagulopathy	
Avoid immobility and dehydration	
HAPE: high-altitude pulmonary oedema; INR: international normalised ratio.	

durations of stay at altitude in these various studies. The fact that the studies examine a large number of biochemical markers in what is an exceptionally complex coagulation pathway further increases the possibility of conflicting results and false-positive findings.

An additional problem with using these studies to address whether patients with a prior history of thromboembolism are at risk for further events at high altitude is the fact that the majority of these studies examined healthy individuals with no underlying coagulopathy or prior thrombotic events. A recent study by SCHREIJER *et al.* [184] suggests that the presence of underlying coagulopathy may, in fact, affect the response to high altitude. They examined 71 healthy volunteers during an actual 8-h flight with cabin pressures equivalent to 1,800–2,100 m, and found increased levels of thrombin–antithrombin complexes after air travel, compared with following the nonhypoxic exposures. Of note, the greatest changes were noted in those volunteers with the factor V Leiden mutation who used oral contraceptives, suggesting that the presence of a pre-existing coagulopathy may be associated with increased risk for thromboembolism at high altitude.

This result is particularly intriguing when viewed in light of the case reports of thromboembolic events at high altitude. Many cases of nonlethal thromboembolic events, such as pulmonary embolism [162], deep venous thrombosis with multiple pulmonary emboli [185] and central nervous system venous thromboses [186–188] have been described at high altitude. In a significant percentage of these and other cases, the affected individual was found to have some underlying predisposition to coagulopathy such as oral contraceptive use [185], protein C deficiency [186], hyperhomocysteinaemia [189] or S-C haemoglobinopathy [190]. Keeping in mind the problems involved in using case studies to determine causality, these cases and the data from SCHREIJER *et al.* [184] suggest that it is only the combination of underlying coagulopathy and altitude exposure that increases the risk for thromboembolic events at altitude.

Recommendations

In patients with a history of venous thromboembolism, the risk for recurrent events upon re-ascent to high altitude may be related to the presence of an underlying coagulopathy. In patients in whom no underlying risk factor for thromboembolism has been identified, there does not appear to be an increased risk for further thromboembolic events at high altitude. Conversely, in patients in whom an underlying coagulopathy such as the factor V Leiden mutation or protein C deficiency has been identified, the risk for future thromboembolic events at altitude may, in fact, be elevated, particularly if the patient also uses oral contraceptive medications.

Patients with a history of venous thromboembolism who ascend to high altitude should continue any therapeutic regimen already initiated at sea level. Increasing altitude has been shown in a retrospective analysis [191] to be a risk factor for a subtherapeutic international normalised ratio and, as a result, close follow-up of a patient's anticoagulation status is warranted before and after a trip to high altitude. If a patient has finished a prescribed period of anticoagulant therapy prior

to an ascent, there appears to be no indication that therapy should be resumed, unless special aspects of the trip to high altitude present known thromboembolic risks. Females with underlying coagulopathy and oral contraceptive use should strongly consider discontinuing the oral contraceptives during their high-altitude exposure. In the event of long plane flights, bus rides or other activities with a high degree of immobility, dehydration, or venous occlusion (*e.g.* backpacking), patients with previous venous thromboembolism should be advised on strategies to avoid these risks (hydration, regular movement, calf exercises, *etc.*) or be considered for low-dose aspirin during these times. Those with secondary pulmonary hypertension due to thromboembolic disease should be evaluated and managed as described above with regard to the pulmonary hypertensive disorders (table 3).

VENTILATORY DISORDERS

There are several different types of ventilatory disorders that might affect the response to high altitude, including daytime obesity hypoventilation, sleep apnoea, ventilatory control disorders and neuromuscular disorders. For each of these conditions, few studies have examined how patients with the particular disorder fare at altitude. However, by drawing on the pathophysiological consequences of these disorders, it is possible to reach conclusions about the risks these patients face at high altitude.

Obesity hypoventilation

Patients with obesity-hypoventilation syndrome are at increased risk for developing pulmonary hypertension and right heart failure [192, 193]. As noted above, multiple case reports suggest pre-existing pulmonary hypertension puts this class of patients at risk for the development of HAPE. Even if such patients do not develop HAPE, the greater hypoxaemia may generate a sufficient rise in P_{pa} to induce acute right heart failure and worsening hypoxaemia. This effect may be even possible at the moderate altitudes experienced in aircraft [194].

Obesity-hypoventilation patients are also at increased risk for the development of AMS, as several studies have shown that obesity and nocturnal hypoxaemia are risk factors for the development of this syndrome [195–198]. RI-LI *et al.* [195] exposed nine obese and 10 nonobese males to a simulated altitude of 3,658 m in a hypobaric chamber and showed that the average Lake Louise AMS score increased more rapidly for obese males. In addition, after 24 h, 78% of the obese males had AMS scores of ≥ 4 while only 40% of the nonobese males had scores at or above this level. This 78% incidence of AMS in the obese population far exceeds that for healthy individuals travelling to high altitudes [40, 199, 200].

There are also data to suggest that obese patients, independent of whether or not they have obesity hypoventilation, are at risk for complications from prolonged stays at high altitude. LUPÍ-HERRERA *et al.* [201] studied 20 obese patients with an average weight of 93 ± 15 kg who had been living at 2,240 m and demonstrated that 80% of these individuals had pulmonary arterial hypertension. Similarly, VALENCIA-FLORES *et al.* [202] studied 57 obese patients living at a mean altitude of 2,248 m with a mean body mass index of 47.1 ± 10 kg·m⁻² and found that 96% of these patients had systolic $P_{pa} \geq 30$ mmHg.

Recommendations

Because of the high risk of right ventricular decompensation at high altitude, patients with obesity-hypoventilation should be counselled against high-altitude travel. If such travel is necessary, patients should be provided with supplemental oxygen for daytime and nocturnal use. These patients should also be counselled regarding the recognition and management of AMS [35] and strong consideration should be given to acetazolamide for AMS prophylaxis, since it is an effective ventilatory stimulant both in the awake and sleep state [203]. Limited data from old case series suggest that progesterone therapy may be effective at improving daytime ventilation in this group of patients at sea level [204, 205]. Other studies have also suggested that progesterone improves waking blood gases without improving nocturnal airway obstruction in patients with concurrent obstructive sleep apnoea [206, 207]. If patients are already taking the medication at sea level, it would be reasonable to continue this at altitude but a new prescription of the medication would not be recommended specifically for high-altitude travel, because the medication has never been studied in this situation. Finally, patients who use continuous positive airway pressure (CPAP) treatment should travel with their units when they go to high altitude, as CPAP use should limit the nocturnal desaturation that can predispose to cardiopulmonary complications. Patients should be aware that unless their CPAP machine has pressure-compensating features, it may not actually deliver the set pressure at high altitude [208]. In such cases, the patient should be instructed to use a higher level of CPAP throughout their trip. The extent to which the set pressure should be adjusted in noncompensating machines can be estimated from equations provided by FROMM JR *et al.* (table 4) [208].

Obstructive and central sleep apnoea

Given its increasing prevalence in the general population, large numbers of obstructive sleep apnoea patients can be expected to travel to high altitude for work or pleasure. Outcomes data at altitude for this group of patients are limited and conflicting in nature. BURGESS *et al.* [209] studied 14 healthy individuals during an ascent to 5,050 m and found that the obstructive sleep apnoea index in rapid eye movement sleep fell from 5.5 ± 6.9 to $0.1 \pm 0.3 \cdot h^{-1}$, while NETZER and STROHL [210] studied six healthy individuals during an ascent of Aconcagua in the Andes and found that the total number of obstructive apnoeas and hypopnoeas increased at 4,200 m relative to their pre-ascent baseline. The applicability of these studies to the population with obstructive sleep apnoea at sea level is questionable, as these studies examined only small numbers of healthy individuals whose baseline apnoea/hypopnoea indices were below the threshold for a diagnosis of obstructive sleep apnoea. The reason why the number of obstructive events would possibly decrease at altitude is unclear. It may relate to the decreased air density at altitude or the fact that the hypoxic ventilatory response overrides other influences that contribute to obstructive events at sea level. Obstructive sleep apnoea patients with significant arterial desaturation at sea level would be expected to have more profound arterial desaturation during apnoeic periods at high altitude, but there are no data on this issue. Finally, obstructive sleep apnoea patients with daytime hypoxaemia are at risk for diurnal pulmonary hypertension [211–214], which, as noted above, might place them at risk for developing HAPE. Unfortunately, there are no data regarding whether this actually occurs at high altitude.

TABLE 4 Summary of recommendations for ventilatory disorders	
Obesity hypoventilation	
Counsel against high-altitude travel	
If high-altitude travel cannot be avoided, administer supplemental oxygen for day- and night-time use	
Counsel patients about the risks for and symptoms of AMS and prophylax with acetazolamide 125 mg or 250 mg <i>b.i.d.</i>	
In patients with pre-existing CPAP prescription, travel to altitude with CPAP unit and make necessary adjustments in set pressure for machines lacking pressure compensation	
Obstructive and central sleep apnoea	
Travel to altitude with CPAP machine and make necessary adjustments in set pressure for machines lacking pressure compensation	
For patients with central sleep apnoea, consider acetazolamide 250 mg <i>b.i.d.</i>	
Continue pre-existing nocturnal oxygen therapy during high-altitude sojourn	
Evaluate patients with daytime hypoxaemia for the presence of pulmonary hypertension and, if present, prophylax with nifedipine SR 20 mg <i>b.i.d.</i>	
Optimise heart-failure regimen for patients with central sleep apnoea due to cardiomyopathy	
Prior carotid artery surgery	
Avoid high-altitude travel in patients with preceding bilateral carotid resection	
If travel cannot be avoided administer supplemental oxygen	
Assess hypoxic ventilatory response in patients with prior history of carotid endarterectomy and administer supplemental oxygen if response is suppressed	
Neuromuscular disorders	
Screen for the presence of sleep-disordered breathing and, if present, treat with bilevel positive airway pressure at altitude	
Screen for baseline hypoventilation and, if present, travel to high altitude with bilevel positive airway pressure	
Administer nocturnal supplemental oxygen in patients with history of nocturnal desaturations, but avoid "over-oxygenation" to prevent suppression of ventilatory drive	
Screen kyphoscoliosis patients for pre-existing pulmonary hypertension and, if present, administer supplemental oxygen and prophylax with nifedipine SR 20 mg <i>b.i.d.</i>	
Counsel patients with bilateral diaphragmatic paralysis against high-altitude travel; If travel cannot be avoided, administer bilevel positive airway pressure	
AMS: acute mountain sickness; CPAP: continuous positive airway pressure.	

Central sleep apnoea is present in certain patients at sea level, such as those with severe cardiomyopathies. There are no studies of this population at high altitude. However, there is strong reason to believe that the degree of central sleep apnoea would remain the same or get worse at altitude. Numerous studies have shown that central sleep apnoea and periodic breathing are common phenomena at high altitude and that their severity increases as one moves to higher elevations [209, 215–217]. BURGESS *et al.* [209], for example, demonstrated that the central respiratory disturbance index increased from 4.5 ± 7.7 at 1,400 m to 55.7 ± 54.4 at an altitude of 5,050 m. This study examined healthy individuals but it is reasonable to argue that the same pattern might be seen in patients with pre-existing central sleep apnoea at sea level. To the extent that these patients desaturate at sea level, they can be expected to have profound desaturation events at high altitude.

Recommendations

Patients with obstructive or central sleep apnoea at sea level should travel to high altitude with their CPAP equipment. As noted above, if the equipment does not have pressure-compensating features, a higher level of pressure will be necessary during the stay at high altitude. For those with predominantly central sleep apnoea, acetazolamide can be used to mitigate the sleep-disordered breathing [218–221]. Patients using supplemental oxygen at night at sea level should continue to do so at altitude. Those patients with daytime hypoxaemia should be evaluated with echocardiography for the presence of pulmonary hypertension and, if it is present, be treated with nifedipine through the duration of their stay at altitude. Finally, because of the recognised association between central sleep apnoea and heart failure [222, 223], patients with this combination of disorders should be cautioned that their heart failure may be the primary source of their limitation at altitude (table 4).

Disorders that affect control of ventilation

Little data exist regarding patients with disorders that affect control of ventilation. One group for whom some data are available is patients with impaired ventilatory control following carotid endarterectomy (CEA). CEA can damage or obliterate the carotid body, a vital component of HVR [224]. ROEGGLA *et al.* [225] performed blood gas analysis on four patients at rest at 171 m and 1,600 m before and after unilateral CEA and demonstrated that after surgery P_{aO_2} at 1,600 m was significantly lower and the carbon dioxide arterial tension was unchanged when compared to the pre-surgery values. This result, which strongly suggests that the HVR is impaired in these individuals, agrees with results of earlier studies [226–228] which examined patients whose carotid bodies were denervated during endarterectomy or who underwent bilateral carotid resection for asthma. In these cases, patients were noted to have no increase in their ventilation following sustained hypoxia when tested weeks to years following their surgery. Given that an impaired HVR predisposes to several forms of altitude illness [229–231], this group of patients may be at risk for problems at high altitude and may also be unable to appreciate warning signs of impending illness. CHANG *et al.* [232], for example, describe a 12-yr-old male who underwent bilateral carotid resection for management of asthma and upon hypoxic challenge became cyanotic and disoriented but lacked

any subjective sensations of discomfort or dyspnoea. Two case reports of patients who developed AMS and HACE, respectively, following neck irradiation [233, 234] suggest this issue might be a concern in any patient following neck surgery or irradiation, rather than being limited to patients who have undergone a carotid artery procedure.

Recommendations

Patients who have undergone bilateral carotid resection should not undertake travel to high altitude. If such travel cannot be avoided, they must travel with supplemental oxygen. Since carotid denervation or carotid body sacrifice are not consistent outcomes in CEA [235], patients who have undergone this or other carotid artery surgery should be screened prior to high-altitude travel. If they are found to have an impaired HVR, supplemental oxygen should be provided for their journey. Another option is the use of respiratory stimulants that enhance central chemoreceptor sensitivity, such as acetazolamide, theophylline and progesterone. Although there are no studies validating this approach at high altitude in these particular patients, central apnoea and periodic breathing in other diseases can be ameliorated by these drugs (table 4) [221, 236].

Neuromuscular disease

Neuromuscular diseases, such as myotonic or Duchenne's muscular dystrophies, diaphragmatic paralysis, kyphoscoliosis, amyotrophic lateral sclerosis and Guillain-Barré syndrome can adversely affect pulmonary function and cause hypoxaemia, alveolar hypoventilation or sleep disturbances [237]. None of these diseases have been studied in a high-altitude environment but the literature on several of these entities suggests ways in which these patients may develop problems at high altitude.

Patients with Parkinson's disease [238] and myotonic dystrophy [239], for example, have blunted hypoxic ventilatory responses at sea level, which, as noted above, is a possible risk factor for AMS and HAPE. Myotonic dystrophy patients, as well as those with Duchenne's muscular dystrophy, have obstructive sleep apnoea and significant nocturnal hypoxaemia, with reported mean nadir oxygen saturations as low as 74–75% [240–242]. Given this degree of hypoxaemia at sea level, these patients might be expected to have even more profound desaturation events at high altitude.

Patients with kyphoscoliosis also can have central apnoea and nocturnal hypoxaemia, the severity of which does not correlate with the extent of their thoracic deformity or impairment in pulmonary function [243]. In addition, given that pulmonary hypertension and cor pulmonale are common in severe cases of kyphoscoliosis [244, 245], these patients might be prone to HAPE. These haemodynamic changes, even without HAPE, can cause right-to-left interatrial shunts at sea level [246], which could lead to profound hypoxaemia at high altitude.

Finally, many patients with neuromuscular disorders have daytime hypoventilation and require various forms of support such as nocturnal bilevel positive airway pressure or a daytime sip ventilator [247, 248]. They might not be able to raise their ventilation in response to the hypoxic conditions at high altitude and thus may develop profound arterial hypoxaemia and hypercapnia. Even if they do not have baseline hypercapnia,

patients with bilateral diaphragmatic paralysis or bilateral phrenic neuropathy often have arterial hypoxaemia, particularly when supine [249, 250]. As a result, they may desaturate to a significant extent at altitude, particularly during sleep.

Recommendations

Patients with neuromuscular disorders should be screened for the presence of sleep apnoea prior to travelling to high altitude and should travel with bilevel positive airway pressure if sleep-disordered breathing is detected. Patients with significant nocturnal desaturations at sea level should sleep with supplemental oxygen as well. Those with severe kyphoscoliosis should be screened for pulmonary hypertension and, if present, should be placed on supplemental oxygen as well as prophylactic nifedipine during their stay at high altitude. Patients with hypoventilation at sea level must travel to high altitude with noninvasive ventilatory support such as bilevel positive airway pressure or a sip ventilator. Extreme care should be taken to ensure that patients with neuromuscular disorders and chronic hypoventilation do not receive excessive supplemental oxygen, which may lead to progressive hypercapnia [247]. Finally, due to the risk of worsening hypoxaemia, patients with bilateral diaphragmatic paralysis should be counselled against travel to high altitude but, if such travel cannot be avoided, they should travel with noninvasive means of ventilatory support (table 4).

INTERSTITIAL LUNG DISEASES

Of all the disorders discussed in the present review, interstitial lung disease (ILD) has the least data available to guide clinical practice with travel to high altitude. Only two studies have examined changes in arterial oxygenation with simulated ascent to high altitude. SECCOMBE *et al.* [74] exposed 15 patients with unspecified types of ILD to an FI_{O_2} of 0.15 (corresponding to a simulated altitude of 2,438 m) and found that the Pa_{O_2} fell from a sea-level average of 11 ± 0.9 kPa (84 ± 6.8 mmHg) to a simulated high-altitude average of 6.8 ± 1.0 kPa (51 ± 7.5 mmHg) at rest and 5.5 ± 0.7 kPa (41 ± 5 mmHg) after walking 50 m. CHRISTENSEN *et al.* [251] studied 17 patients with a heterogeneous group of restrictive disorders and found that exposure to a simulated altitude of 2,438 m caused the Pa_{O_2} to fall from a sea-level average of 10 ± 1.6 kPa (78 ± 12 mmHg) to 6.5 ± 1.1 kPa (49 ± 8 mmHg) at rest and 5.1 ± 0.9 kPa (38 ± 6.8 mmHg) following 20-W exercise. They also found that supplemental oxygen at $2 \text{ L} \cdot \text{min}^{-1}$ at rest and $4 \text{ L} \cdot \text{min}^{-1}$ with exercise was sufficient to maintain $Pa_{O_2} > 6.7$ kPa (50 mmHg). In terms of whether the patients developed symptoms as a result of their hypoxaemia, SECCOMBE *et al.* [74] reported a statistically significant increase in the Borg dyspnoea score in their subject group, while CHRISTENSEN *et al.* [251] only noted that two subjects terminated their exercise study after only 2 min due to dyspnoea. CHRISTENSEN *et al.* [251] provide a regression equation, which takes into account the sea-level Pa_{O_2} and TLC (measured as % pred). This model only accounted for 77% of the variance in Pa_{O_2} at 2,438 m and has not been validated in a subsequent study.

At present, there are no data, such as baseline pulmonary test results, which provide insight into which patients with ILD are susceptible to illness at high altitude. There are also no data regarding changes in pulmonary mechanics or pulmonary

vascular responses in ILD. Given that many of these patients develop secondary pulmonary hypertension, patients with elevated pulmonary arterial pressures at sea level might be at risk for developing HAPE at high altitude.

Recommendations

Patients with ILD should undergo evaluation prior to travel to high altitude to determine the need for supplemental oxygen. It would be acceptable to start with the regression equation proposed by CHRISTENSEN *et al.* [251] for the predicted Pa_{O_2} at 2,438 m ($Pa_{O_2} \text{Pred}$):

$$Pa_{O_2} \text{Pred} = 0.74 + (0.39 \times Pa_{O_2} \text{SL}) + (0.033 \times \text{TLC}) \quad (2)$$

Subjects in whom the predicted Pa_{O_2} falls to < 6.7 – 7.3 kPa (50–55 mmHg) should receive supplemental oxygen. Since this equation has not been validated in larger studies and does not explain all of the variance between sea-level and high-altitude Pa_{O_2} , patients deemed to be at high risk for hypoxaemia at altitude should undergo formal testing in a hypobaric chamber or with hypoxic gas breathing as described by GONG JR *et al.* [77]. Given the association between many forms of ILD and pulmonary hypertension, echocardiography should be performed prior to high-altitude travel in patients for whom the presence or absence of pulmonary hypertension has not been documented. Patients with secondary pulmonary hypertension should avoid travel to high altitude. If such travel is necessary, these patients should use supplemental oxygen and be placed on nifedipine for HAPE prophylaxis (table 5).

PNEUMOTHORACES AND PLEURAL DISORDERS

As noted earlier in the present review, the available data suggest that patients with bullous lung disease can ascend to high altitude without risk of bullae expansion or pneumothorax. The situation is different for patients with pneumothoraces. As such air collections do not communicate with the environment, there is a high risk they will expand at altitude and cause increasing respiratory difficulty and perhaps even tension pneumothorax [252, 253]. Guidelines of the Aerospace Medical Association [76] state that patients with pneumothorax or recent chest surgery should wait 2–3 weeks after successful drainage of the pneumothorax prior to air travel. Perhaps the only prospective evaluation of the proper timing for exposure to hypobaric conditions is that carried out by CHEATHAM and SAFESAK [102], who followed 12 consecutive patients with recent traumatic pneumothorax wishing to fly by commercial airline. Ten patients waited at least 14 days after radiographic resolution and experienced no in-flight symptoms while one of two patients who flew earlier developed a recurrent pneumothorax in flight. The second patient had symptoms consistent with pneumothorax but no radiographs were obtained to confirm the diagnosis. No data exist on outcomes at high altitude for patients with other forms of lung disease that predispose to pneumothoraces, such as lymphangioleiomyomatosis or pneumocystis *jiroveci* pneumonia.

Recommendations

Patients with recent pneumothorax or thoracic surgical procedures should wait a minimum of 2 weeks following radiographic resolution of intrapleural air collections before

TABLE 5 Summary of recommendations for interstitial lung disease and pleural disorders**Interstitial lung disease**

Assess need for supplemental oxygen and administer during stay at high altitude if predicted $P_{a,O_2} < 50$ –55 mmHg

Screen patients for pre-existing pulmonary hypertension and, if present, administer supplemental oxygen and prophylax with nifedipine SR 20 mg *b.i.d.*

Pleural disorders

After pneumothorax or thoracic surgery, wait 2 weeks after radiographic resolution of intrapleural air collections before undertaking high-altitude travel

With persistent pneumothorax or bronchopleural fistula, travel to altitude with chest tube or Heimlich valve in place

Consider screening patients at high risk for secondary spontaneous pneumothorax for the presence of occult pneumothorax with CT scan prior to high-altitude travel

P_{a,O_2} : arterial oxygen tension; CT: computed tomography. 1 mmHg=0.133 kPa.

ascent to high altitude. If a pneumothorax is still present or other intrapleural pathology exists such as a bronchopleural fistula, ascent should only be undertaken if a chest tube or one-way Heimlich valve are in place. It may be prudent to screen patients with disorders associated with secondary spontaneous pneumothoraces for the presence of occult pneumothorax with plain radiograph or CT scan prior to ascent to high altitude (table 5).

DRUG PROPHYLAXIS OF HIGH-ALTITUDE ILLNESS IN PATIENTS WITH SEVERE LUNG DISEASE

The drugs available for prophylaxis of AMS, HAPE and HACE are agents with which most pulmonologists are already familiar: acetazolamide; salmeterol; dexamethasone; theophylline and phosphodiesterase-5 inhibitors; and calcium-channel blockers. With the exception of acetazolamide, there are no special circumstances to warrant caution in patients with lung disease beyond those already established for these drugs. For patients with lung disease characterised by severe ventilatory limitation ($FEV_1 < 25\%$ pred), however, one should use caution in the dosing of acetazolamide. By inhibiting renal carbonic anhydrase, acetazolamide creates a mild metabolic acidosis which stimulates ventilation. With doses greater than $2 \text{ mg} \cdot \text{kg}^{-1}$, however, there can be significant red cell carbonic anhydrase inhibition, which can impair carbon dioxide excretion [203]. In the setting of increased ventilation needs and limited ventilatory reserves, this carbon dioxide retention may lead to worsened dyspnoea and/or respiratory failure [254]. In such patients, acetazolamide should be limited to 125 mg b.i.d. or an alternative agent such as dexamethasone should be used [35].

CONCLUSIONS

The present review article has examined the manner in which a variety of lung diseases may be affected by high altitude and whether or not patients with such diseases are at risk for altitude-related illnesses. While larger outcome studies involving such patients are lacking at this time, it is possible to draw on an understanding of the pathophysiology of each type of disease as well as on a limited number of smaller studies, case reports and other forms of indirect evidence and make tentative conclusions about the risks faced by these patients when they travel to high altitude. Pre-existing lung disease does not always preclude travel to high altitude. In many cases, such travel may be safely carried out provided a thorough pre-travel evaluation has been conducted and adequate prophylactic measures have been put in place to

prevent altitude illness or worsening of the underlying disease.

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